

# Automata Techniques for Epistemic Protocol Synthesis

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# Context

Two important approaches to add dynamics to Epistemic Logics:

## Epistemic Temporal Logics

A model usually consists of:

**Dynamics:** A finite transition system

**Epistemics:** Observational equivalences on states.

## Dynamic Epistemic Logics

Much finer way to describe the events and how they are perceived.

**Epistemics:** **Epistemic models** and **event models** to represent

- possible worlds, and how they are perceived,
- possible events, and how they are perceived.

**Dynamics:** **Update product** between epistemic and event models

# What about strategizing/planning?

## In the context of ETL:

- Has been, and still is, much studied
- Many decidability/complexity results
- Rely on the fact that the set of histories is regular
  - Powerset constructions
  - Tree automata techniques

## In the context of DEL:

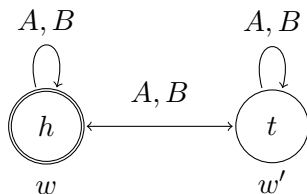
- Very little results
- Because the set of histories is not regular in general?

## In this work:

- Identify a condition for DEL-generated structures to be regular
- Use automata techniques to tackle planning problems in DEL

- 1 Dynamic Epistemic Logic (DEL)
- 2 From DEL to regular structures
- 3 Epistemic planning and epistemic protocol synthesis

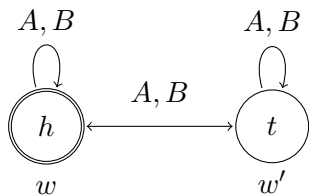
An example: Alice and Bob toss a coin in the dark.



The initial epistemic state:

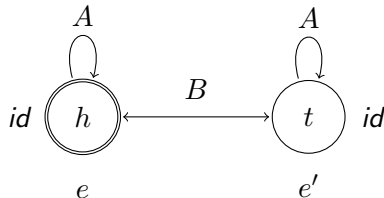
- The coin is on heads
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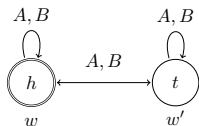
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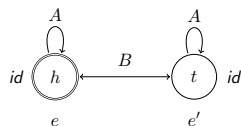
The event :

- The light turns on briefly
- Alice sees that it is heads
- Short-sighted Bob sees tails

# An example: Alice and Bob toss a coin in the dark.

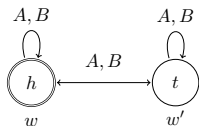


The initial epistemic state



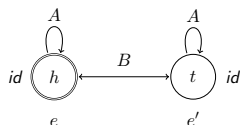
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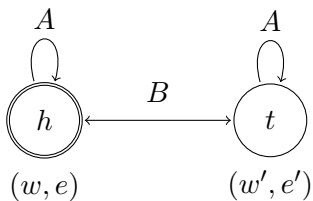
The initial epistemic state

⊗



The event

=



The resulting epistemic state



# Epistemic models

## Epistemic language $\mathcal{L}^{EL}$

$$\varphi ::= p \mid \neg\varphi \mid \varphi \vee \psi \mid K_i\varphi \quad (p \in AP, i \in Ag)$$

## Epistemic models

$$\mathcal{M} = (W, \{R_i\}_{i \in Ag}, V)$$

- $W$  is a non-empty finite set of **possible worlds**,
- $R_i \subseteq W \times W$  is an **accessibility relation** for agent  $i$ ,
- $V : AP \rightarrow 2^W$  is a **valuation function**.

## Semantics of $\mathcal{L}^{EL}$

- $\mathcal{M}, w \models p$  if  $w \in V(p)$
- $\mathcal{M}, w \models \neg\varphi$  if  $\mathcal{M}, w \not\models \varphi$
- $\mathcal{M}, w \models \varphi \vee \psi$  if  $\mathcal{M}, w \models \varphi$  or  $\mathcal{M}, w \models \psi$
- $\mathcal{M}, w \models K_i\varphi$  if  $\mathcal{M}, w' \models \varphi$  for all  $w' \in R_i(w)$

# Event models

## Event models

$\mathcal{E} = (E, \{R_i\}_{i \in Ag}, \text{pre}, \text{post})$

- $E$  is a non-empty finite set of possible **events**,
- $R_i \subseteq E \times E$  is an **accessibility relation** on  $E$  for agent  $i$ ,
- $\text{pre} : E \rightarrow \mathcal{L}^{EL}$  is a **precondition function** and
- $\text{post} : E \rightarrow AP \rightarrow \mathcal{L}^{EL}$  is a **postcondition function**.

## Propositional event models

Pre and post-conditions are propositional.

## Update product and DEL-generated structures

Product of  $\mathcal{M} = (W, \{R_i\}_{i \in Ag}, V)$  and  $\mathcal{E} = (E, \{R_i\}_{i \in Ag}, \text{pre}, \text{post})$

$$\mathcal{M} \otimes \mathcal{E} = (W^\otimes, \{R_i^\otimes\}_{i \in Ag}, V^\otimes)$$

$$W^\otimes = \{(w, e) \in W \times E \mid \mathcal{M}, w \models \text{pre}(e)\},$$

$$R_i^\otimes(w, e) = \{(w', e') \in W^\otimes \mid w' \in R_i(w) \text{ and } e' \in R_i(e)\},$$

$$V^\otimes(p) = \{(w, e) \in W^\otimes \mid \mathcal{M}, w \models \text{post}(e)(p)\}$$

Structure generated from  $\mathcal{M}$  and  $\mathcal{E}$

- $\mathcal{M}\mathcal{E}^n = \mathcal{M} \otimes \overbrace{\mathcal{E} \otimes \dots \otimes \mathcal{E}}^{n \text{ times}}$
- $\mathcal{M}\mathcal{E}^* = \bigcup_{n \geq 0} \mathcal{M}\mathcal{E}^n = (H, \{\sim_i\}_{i \in Ag}, V)$

An element  $(w, e_1, \dots, e_n)$  of  $\mathcal{M}\mathcal{E}^*$  is seen as a history  $w e_1 \dots e_n$ , and  $w e_1 \dots e_n \sim_i w' e'_1 \dots e'_n$  if  $w R_i w'$  and  $e_k R_i e'_k$  for all  $k$ .

# Plan

- 1 Dynamic Epistemic Logic (DEL)
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# Definition

## Regular structures

A relational structure  $\mathcal{S} = (D, \{\sim_i\}_{i \in Ag}, V)$  is **regular** if:

- $D \subseteq \Sigma^*$  is a **regular language** over some alphabet  $\Sigma$ ,
- for each  $i \in Ag$ ,  $\sim_i$  is a **regular relation**,
- for each  $p \in AP$ ,  $V(p) \subseteq D \subseteq \Sigma^*$  is a **regular language**.

In other words, the structure is representable by finite automata.

# Regular relations

## Regular relations

A binary relation over words is **regular** if it is recognized by a synchronous transducer.

### Example: synchronous perfect recall

- Let  $\rightsquigarrow \subseteq \Sigma \times \Sigma$  be an accessibility relation.
- Extend it to words:

$$a_1 \dots a_n \rightsquigarrow a'_1 \dots a'_n \text{ if } a_k \rightsquigarrow a'_k \text{ for each } k.$$

- Recognized by:



if  $a \rightsquigarrow a'$

## From DEL to automata

## Theorem: from DEL to automata

For every epistemic model  $\mathcal{M}$  and propositional event model  $\mathcal{E}$ ,  $\mathcal{M}\mathcal{E}^*$  is an automatic structure, and we can build recognizers.

Define  $\mathcal{A} = (\Sigma, Q, \delta, q_\iota, F)$ , where

- $\Sigma = W \cup E$ ,
- $F = \{q_\nu \mid \nu \subseteq AP\}$ ,  $Q = F \uplus \{q_\iota\}$ , and  $\forall w \in W, \forall e \in E$ ,

$\delta(q_\iota, w) = q_{\nu(w)}$     $\delta(q_\iota, e)$  is undefined,    $\delta(q_\nu, w)$  is undefined

$\delta(q_\nu, e) = \begin{cases} q_{\nu'}, & \text{with } \nu' = \{p \mid \nu \models \text{post}(e)(p)\} \\ \text{undefined} & \text{otherwise.} \end{cases}$  if  $\nu \models \text{pre}(e)$

## Fact

$\mathcal{A}$  accepts exactly the histories in  $\mathcal{M}\mathcal{E}^*$ .

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# Epistemic planning

## The epistemic planning problem (EPP)

Input:

- a pointed initial epistemic model  $(\mathcal{M}, w)$
- an event model  $\mathcal{E}$
- a goal formula  $\varphi \in \mathcal{L}^{EL}$

Output:

- Is there  $e_1 \dots e_n$  s.t.  $(\mathcal{M}, w) \otimes (\mathcal{E}, e_1) \otimes \dots \otimes (\mathcal{E}, e_n) \models \varphi$  ?

## Example

Is there a finite sequence of events such that in the end, Alice and Bob both know how is the coin?

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# Epistemic planning

The **propositional** epistemic planning problem (**propositional EPP**)

Input:

- a pointed initial epistemic model  $(\mathcal{M}, w)$
- a **propositional** event model  $\mathcal{E}$
- a goal formula  $\varphi \in \mathcal{L}^{EL}$

Output:

- Is there  $e_1 \dots e_n$  s.t.  $\mathcal{M}\mathcal{E}^*, w_{e_1 \dots e_n} \models \varphi$  ?

## Example

Is there a finite sequence of events such that in the end, Alice and Bob both know how is the coin?

**Theorem [Yu et al. 2013]**

The **propositional** epistemic planning problem is decidable.

# Our contribution

## Theorem [Yu et al. 2013]

The **propositional** epistemic planning problem is decidable.

[Yu et al. 2013] prove that a finite search tree is sufficient.

## We propose:

Alternative proof, based on automata techniques.

- Provides better upper bounds on the complexity.
- Builds an automaton that generates all the solution plans.
- Our approach allows to solve a much more general problem.

# Uniform strategies

Use techniques developed for computing uniform strategies with rational relations.

## Uniform strategy problem (roughly):

Input:

- A finite game arena
- $n$  transducers that recognize relations between plays
- A CTL<sup>\*</sup>K <sub>$n$</sub>  formula  $\varphi$

Output:

- Is there a strategy for Player 1 that verifies  $\varphi$ ?

[M., Pinchinat 2013]

The uniform strategy problem is decidable (nonelementary).

## Back to epistemic planning

Take an instance  $((\mathcal{M}, w), \mathcal{E}, \varphi)$  of the propositional EPP.

- Build an automatic representation  $(\mathcal{A}, \{T_i\}_{i \in Ag})$  of  $\mathcal{M}\mathcal{E}^*$
- See  $\mathcal{A}$  as a one player game arena
- Look for a strategy (a play) that verifies **EF** $\varphi$  (or **AF** $\varphi$ )

Because the uniform strategy problem is decidable for regular relations, we get the decidability of Propositional EPP, and more:

### Theorem

**Arbitrary relations:** Prop. EPP is in  $(d(\varphi) + 1)$ -EXPTIME

**Equivalence relations:** Prop. EPP is in  $(ad(\varphi) + 1)$ -EXPTIME

**Synthesis:** Build automaton that generates all the solution plans.

# Epistemic protocol synthesis in DEL

## Epistemic planning

- finite sequence of events
- reach epistemic objective

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## Epistemic protocol synthesis

- **infinite tree** of events
- **CTL\*** $K_n$  specification



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## Epistemic protocol synthesis

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- **CTL\*** $K_n$  specification

## Theorem

The propositional epistemic protocol synthesis problem is decidable.

Same techniques and same complexity as for epistemic planning.

# Conclusion

- Connection between DEL-generated structures and regular structures
- This bridge allows us to apply existing automata techniques
- Alternative decidability proof for Propositional EPP
- Side results:
  - Improved complexity upper-bounds
  - Synthesize an automaton that recognizes the solution plans
- Same techniques apply to solve the generalized problem of Epistemic protocol synthesis

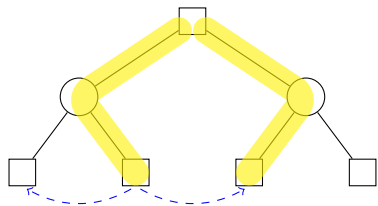
## Future work

- Are these decision procedures optimal?
- Consider asynchronous variants of DEL
- Put strategic aspects in DEL.
  - By whom is an event triggered?
  - What are the agents' objectives?

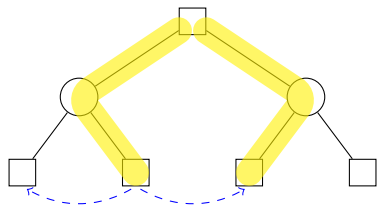
Thank you!

# Semantics of $\exists$ and $\forall$

Blue arrows:  $\sim$



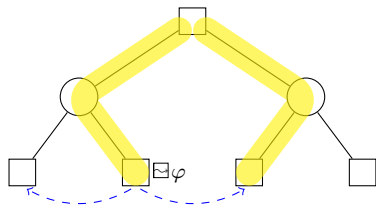
$\exists$  : Strict quantifier



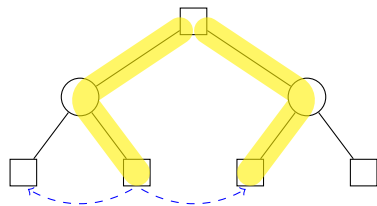
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# Semantics of $\exists$ and $\forall$

Blue arrows:  $\rightsquigarrow$



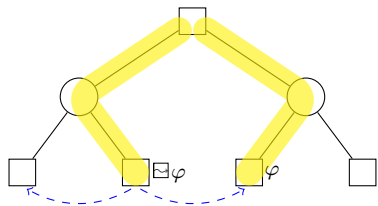
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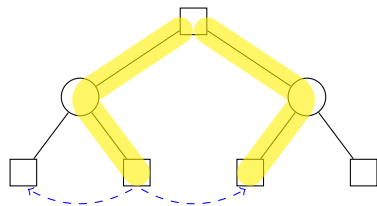
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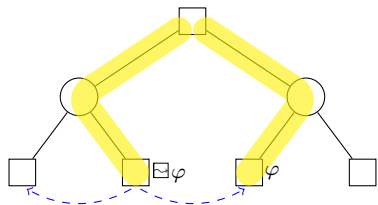
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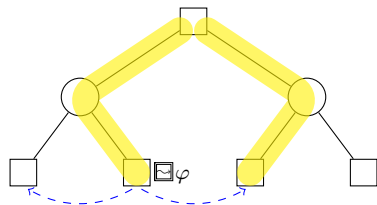
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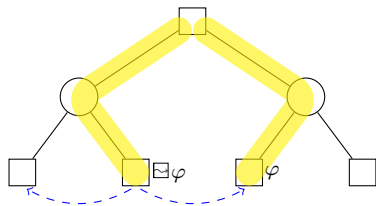
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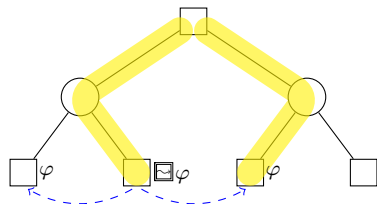
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